



CrossEU

D6.9 - Report on Project Policies (Version 1)

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Deliverable 6.9 – Report on project policies - (Version 1)

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Table of Contents

1. Introduction.....	7
1.1. Scope and Objectives of the CROSSEU project	8
1.2. Purpose of the Deliverable D6.9.....	9
2. Legal Documents.....	11
2.1. Grant Agreement.....	11
2.2. Consortium Agreement	11
3. Risk Management	13
3.1. Risk Identification and Mitigation Strategies.....	13
3.2. Risk Register	14
4. Data Management	15
4.1. Data Management Plan.....	15
4.2. Data Privacy and Security	15
4.3. Data Sharing and Open Access.....	16
5. Intellectual Property Rights (IPR)	18
5.1. IPR Policies and Guidelines	18
5.2. Ownership and Protection of Results.....	18
5.3. Rights of use for the Granting Authority	19
6. Gender Equality	20
6.1. Gender Balance in the CROSSEU Project.....	20
6.2. Sex and Gender Sensitive Scientific and Organisational Actions and Practices.....	20
6.3. Integrating Sex and Gender Sensitive Considerations into Scientific Actions and Practices.....	21
7. Ethical Considerations	23
7.1. Ethical Principles and Compliance	23
7.2. Ethical Review Process	24
8. Project Reporting and Deliverables and Milestones Monitoring	25
8.1. Project financial and technical reporting.....	25
8.1.1. Reporting to EC.....	25
8.1.2. Internal reporting.....	25
8.2. CROSSEU Deliverables and Milestones Monitoring	27



Deliverable 6.9 – Report on project policies - (Version 1)

9. Conclusion	28
10. Annexes.....	30
10.1. Annex 1: Risk Register	30
10.2. Annex 2: Unforeseen Risks.....	33
10.3. Annex 3: CROSSEU GDPR EU Template	34
10.4. Annex 4: Stakeholder Consent Letter and Questionnaire	35
10.5. Annex 5: Ethics Review Template.....	40

List of figures

Figure 1: CROSSEU Project Framework	8
Figure 2: Risk Management Process.....	14

List of Tables

Table 1: Table 1 presents the Critical risks as per Annex 1 of the CROSSEU Grant Agreement.....	30
Table 2: Unforeseen Risks.....	33



Executive Summary



Deliverable D6.9 presents the framework developed under Task T6.4 for monitoring key cross-cutting elements critical to the successful implementation of the CROSSEU project. These include implementation risks, data management, intellectual property rights (IPR), gender representation, and ethics.

Led by MeteoRo, with contributions from K&I, BOKU, and DTU, the report spans the entire project duration (M1–M36). It outlines specific strategies for identifying and mitigating implementation risks, ensuring secure and compliant data management, safeguarding IPR, promoting sex and gender inclusivity, and upholding high ethical standards.

The mechanisms and policies defined in this document are essential to ensuring compliance, equity, and resilience throughout the project’s lifecycle.

Keywords

Project Policies; Management Reporting; Management Monitoring; Ethics; IPR; Gender Equality; Grant Agreement; Consortium Agreement; Risk Management; Data Management; Ethical Standards; Open Science; Project Implementation; Deliverables; Milestones;



Abbreviations and acronyms

Acronym	Description
AAMs	Author's Accepted Manuscript
CC 0	Creative Commons Zero
CC BY	Creative Commons Attribution
DMP	Data Management Plan
DOI	Digital Object Identifier
DPM	Data Protection Manager
EAB	Ethical Advisory Board
EC	European Commission
ECCA	European Climate Change Adaptation Conference
EEAB	External Expert Advisory Board
EGU	European Geosciences Union
EOSC	European Open Science Cloud
ET	Ethics Team
FADP	Federal Act on Data Protection (Switzerland)
FAIR	Findable, Accessible, Interoperable, Reusable
GA	Grant Agreement
GDPR	General Data Protection Regulation
HEU	Horizon Europe
IPR	Intellectual Property Rights
JOA	Joint Ownership Agreement
KERs	Key Exploitable Results
MIP4Adapt	Mission Implementation Platform for Adaptation
OpenAIRE	Open Access Infrastructure for Research in Europe
OR	Ownership Rights
OS	Open Science
OSI	Open-Source Initiative
PC	Project Coordinator
PM	Person Months
RDM	Research Data Management
RRI	Responsible Research and Innovation
SE	Socioeconomic
UK GDPR	United Kingdom General Data Protection Regulation
VoR	Version of Record
WP	Work Package



1. Introduction

The successful implementation of any project requires a comprehensive understanding of its objectives, scope, and the various factors that may influence its progress. CROSSEU, a groundbreaking initiative, aims to deliver innovative solutions while adhering to stringent project policies and procedures. This document outlines the scope and objectives of CROSSEU, focusing on monitoring implementation risks, data management, intellectual property rights (IPR), gender considerations, and ethics. Furthermore, it provides an analysis of potential overlaps with the CARMINE project (101137851) and highlights the unique aspects of CROSSEU's implementation strategy, as well as the collaborative efforts of its partners. The objectives of the CROSSEU project on monitoring implementation risks, data management, intellectual property rights (IPR), gender considerations, and ethics include:

- **Monitoring Implementation Risks:** Establishing robust policies and procedures to identify, assess, and mitigate risks associated with the project's implementation. This includes ensuring the timely identification of potential issues and implementing corrective actions to minimize their impact.
- **Data Management:** Ensuring the secure and efficient handling of data throughout the project's lifecycle. This involves adhering to data protection regulations, implementing data governance frameworks, and promoting data-driven decision-making processes.
- **Intellectual Property Rights (IPR):** Developing strategies to protect the intellectual property generated during the project. This includes establishing clear guidelines for ownership, usage rights, and the commercialization of project outcomes.
- **Gender Considerations:** Promoting gender equality and inclusivity within the project team and its activities. This involves creating policies that ensure equal opportunities and fostering an environment that values diversity.
- **Ethical Standards:** Upholding high ethical standards in all aspects of the project's implementation. This requires establishing clear ethical guidelines and conducting regular audits to ensure compliance.



1.1. Scope and Objectives of the CROSSEU project

The scope of the CROSSEU project encompasses the development and deployment of cutting-edge technologies and methodologies designed to address significant challenges in the specified domain. The primary objectives of the project are:

SO1: To enhance the modelling of mitigation and adaptation strategies in relation to projected BGP, and SE risks to different sectors for different time horizons (i.e. 2030, 2050 and 2100), considering both the individual characteristics and interactions between the various sectors.

SO2: To co-assess synergies, conflicts and trade-offs between mitigation and adaptation strategies across different sectors and EU regions.

SO3: To co-produce ready-to-use tools integrated in a science-based Decision Support System (DSS4) for assessing the SE impacts of CC and support M&A options towards a climate resilient Europe.

SO4: To consolidate the EU’s response to CC challenges and SE impacts in the context of the transformation related to the post COVID-19 crisis and geopolitical challenges. SO4: To consolidate the EU’s response to CC challenges and SE impacts in the context of the transformation related to the post COVID-19 crisis and geopolitical challenges.

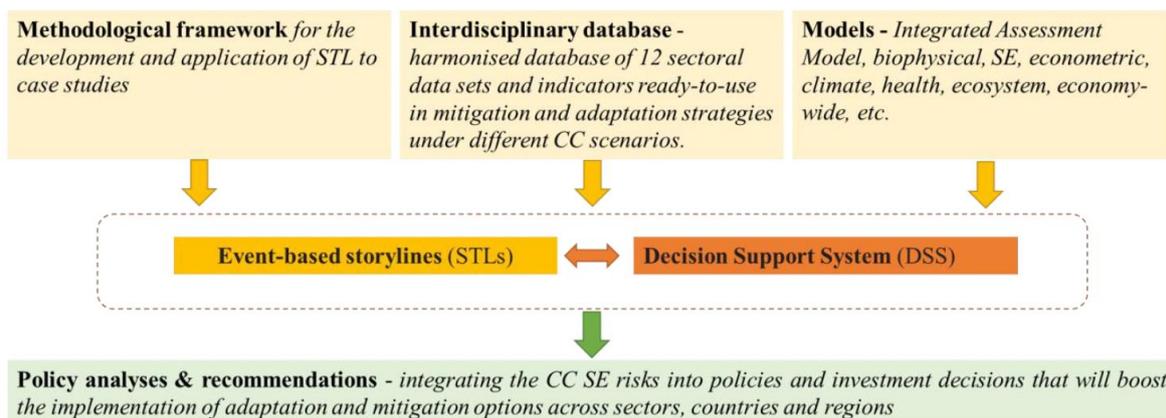


Figure 1: CROSSEU Project Framework

Identification of Potential/Actual Overlaps and Differentiation from CARMINE

In assessing CROSSEU's implementation, it is crucial to identify any potential, apparent, or actual overlaps with the CARMINE project (101137851).



Deliverable 6.9 – Report on project policies - (Version 1)

This analysis helps clarify how CROSSEU differentiates itself in terms of objectives, methodologies, and outcomes.

- **Project Objectives and Methodologies:** While both projects aim to innovate within their respective domains, CROSSEU distinguishes itself through its unique focus on a research-based framework for improving climate resilience and policy response to socio-economic risks of climate change and extreme events in Europe, through the co-development of a ready-to-use Decision Support System and cross-sectoral actionable knowledge. The methodologies employed by CROSSEU is based on four conceptual pillars (PL) that underpin the co-designing of the CROSSEU knowledge-based DSS, meeting common and differentiated needs of various sectoral end users, setting it apart from CARMINE's approach.
- **Collaborative Efforts:** The partners involved in CROSSEU bring distinct expertise and resources that contribute to its unique implementation strategy. The collaboration focuses on the early and permanent fusion with relevant stakeholders to undertake the backbone concepts and co-designing the methodological framework from process modelling to M&A measures and policy recommendations, which is not present in CARMINE's framework.
- **Implementation Strategy:** CROSSEU employs a science-based ready-to-use DSS built on enhanced understanding of the BGP risks from CC and their SE impacts in Europe, fully co-produced and implemented with practice stakeholders to ensure its uptake and support effective coping with sectoral and cross-sectoral climate risks within the context of the green transition. This addresses specific challenges not covered by CARMINE.
This differentiation is crucial for achieving the project's objectives and delivering impactful results.

1.2. Purpose of the Deliverable D6.9

This deliverable is elaborated within task “T6.4. Monitoring implementation risk, data policy, IPR, gender representation and ethics issues”, spanning from M1 to M36.

The task partners are MeteoRo, K&I, BOKU, DTU with MeteoRo leading the drafting of the present report.

D6.9 outlines the framework and mechanisms established to ensure robust monitoring of key cross-cutting elements critical to the project's success and compliance. Specifically, it addresses the technical and financial



Deliverable 6.9 – Report on project policies - (Version 1)

monitoring tools, identification and mitigation of implementation risks, the development and application of data management policies, the handling of intellectual property rights (IPR), gender representation across project activities, and the ethical considerations embedded in the project's design and execution.

The scope of this document is to ensure that these dimensions are systematically tracked and managed throughout the project lifecycle, promoting transparency, equity, and responsible innovation.



2. Legal Documents

2.1. Grant Agreement

The Grant Agreement outlines the project's strategic approach and commitments to achieving the projects' objectives effectively.

The CROSSEU project (Cross-sectoral Framework for Socio-Economic Resilience to Climate Change and Extreme Events in Europe) is an ambitious research initiative aimed at enhancing Europe's resilience to the impacts of climate change and extreme events. Funded under the HORIZON-CL5-2022-D1-01-two-stage call, this project is designed to develop a comprehensive framework that integrates multiple sectors to strengthen socio-economic stability in the face of environmental challenges. Spanning three years, from January 2024 to December 2026, CROSSEU has been managed by the European Climate, Infrastructure, and Environment Executive Agency (CINEA) and supported through the EU Funding & Tenders Portal. With its focus on actionable research and innovation, the project plays a pivotal role in advancing Europe's climate adaptation strategies.

2.2. Consortium Agreement

The Consortium Agreement for the CROSSEU project establishes the foundational framework for collaboration among partners, detailing essential elements such as definitions, purpose, and the terms of entry into force, duration, and termination. It outlines the responsibilities of each party, liability provisions, and the governance structure to ensure effective project management. Financial provisions are specified to guide resource allocation, while sections on results and access rights facilitate the sharing and utilization of project outcomes. The agreement also includes clauses on non-disclosure of information to protect sensitive data, culminating in signatures that formalize the commitment of all parties to the project's objectives and collaborative efforts.

The current CA (version 7) is the sole applicable agreement, resolving any legal inconsistencies arising from the existence of two active versions (6 and 7) and ensuring alignment with the updated terms outlined in Articles 4.2 (Responsibilities of Associated Partners), 11.7 (Applicable Law), and 11.8 (Dispute Resolution, particularly regarding WMO and the application of UNCITRAL rules).

Art. 4.2, 11.7 and 11.8 have been modified and the updated CA was signed on 26th of March 2025 by all partners.



Accepted Changes and Current Situation

Regarding the changes made to the Consortium Agreement (CA), significant amendments have been made to sections 4.2 and 11.7-11.8, which were essential for aligning with the requirements of the funding authorities and for clarifying dispute resolution procedures. These changes ensure consistency between the contractual documents and clarify the dispute resolution process, which may involve mediation and, in certain cases, arbitration according to UNCITRAL rules.

Key changes include:

- Section 4.2 has been revised to state that associated partners do not receive direct funding from the funding authority and must secure their own financing for project implementation.
- Sections 11.7 and 11.8 have been updated to align with the regulations set in the Grant Agreement, indicating the applicable law (Belgian law) and dispute resolution procedures, including mediation under WIPO rules and, in the event of mediation failure, arbitration according to UNCITRAL rules.

Legal Complications and Resolution of the Conflict between Versions 6 and 7 of the Consortium Agreement

Given that Version 6 of the Consortium Agreement was not duly executed by all consortium partners, specifically due to the absence of the World Meteorological Organization's (WMO) signature, it lacks the legal prerequisites for enforceability and is therefore null and void by operation of law. Conversely, Version 7 of the Consortium Agreement has been duly signed by all parties, including both WMO and UCL, thereby constituting the only valid and legally binding version of the agreement. The full execution of Version 7 by all consortium members affirms its legal supremacy and renders any prior unsigned or partially signed versions without legal effect, thereby resolving potential conflicts and ensuring a unified contractual framework for the project.



3. Risk Management

3.1. Risk Identification and Mitigation Strategies

Risk Monitoring is a continuous process of keeping track of risks and evaluating the effectiveness of response actions. The goal is to identify new risks, develop response strategies, and track the level of critical risks. In the CROSSEU project, the level of critical risks is regularly monitored and reported, with specific discussions during plenary conference calls.

During Risk Monitoring and Control, the following tasks are performed:

- Identification, analysis, and planning for new risks;
- Reviewing project performance information such as progress/status reports, issues, and corrective actions;
- Re-analysis of existing risks to assess changes in probability, impact, or response plan;
- Reviewing the execution of risk responses and their effectiveness;
- Evaluating the effectiveness of the risk process to determine the need for changes to approach, tools or techniques.

The result of Risk Monitoring and Control is an updated Risk Register, which contains recommended corrective and preventive actions. The latest version of the Risk Register is accessible to anyone in the project through the repository.

During the project, concerns may increase or decrease in their impact on the project. An issue is a situation that has already occurred or will occur, while a risk is a potential event. When a risk becomes an issue, analysis and responses are stepped up and status is reported more frequently. Alternatively, an issue may no longer be a concern or may have been resolved, but the Project Coordinator may still wish to periodically monitor the surrounding situation.

Risk Identification is a proactive process to identify potential risks for the project. It is an iterative process that begins during the proposal phase. The risks identified in the proposal phase are updated based on the current status of the project.

This process of ongoing updating will continue throughout the lifecycle of the project.

Subject-matter experts, WP leads, project management, and team members are the participants in risk identification and the risk register documents the identified risks.

For CROSSEU, two categories of risks have been initially identified: project-level risks and WP-level risks. These risks may encompass various aspects

such as political, design-related, procurement-related, environmental, technical, organizational, external, and/or economical.

To effectively manage risks, the greatest effort should be made at the outset to anticipate and monitor potential risks and plan mitigation actions if necessary. Each time a new risk is identified, it must also be managed.

The Risk Management activities are applied to the CROSSEU project to attempt to decrease the probability and impact of negative events by identifying and planning for risks before significant negative consequences occur. The process includes identifying, classifying, documenting, and tracking risks throughout the project. The risk management lifecycle is comprised of the following steps, as depicted in Figure 7.



Figure 2: Risk Management Process

These steps are executed in sequence for each project risk introduced in the risk management process.

3.2. Risk Register

The “Risk Register” is an internal file accessible to all project partners and serves as a living platform for updating and reviewing the latest status of the risks identified in the project.

The Risk Register is based on the project proposal and Annex 1 of the Grant Agreement. No new risks were identified at the end of the first internal interim reporting for M1-M9. All risks can be found on the project internal SharePoint.

The Risk Register is reviewed regularly and discussed at project progress meetings and also reported in every Project General Assembly.

The list of risks currently included in the Risk Register including Unforeseen Risk table are provided in *Annex 1 and Annex 2* of this document. The state of play of the risks is described in the right-side column of the table.

There are currently no alerting risks.

Due to a technical EU reporting practice, risks cannot be removed from the Risk Register. Therefore, the probability and likelihood of any risks are proposed to mark as zero (0) to indicate their closure.



4. Data Management

4.1. Data Management Plan

As stated in the CROSSEU Grant Agreement, three versions of a Data Management Plan (DMP) will be created over the course of the project duration to reflect the status of project data; including the outputs of the modelling protocols, data processing flows and data sharing within the project consortium and with stakeholders for co-designing the Decision Support System (DSS) of CROSSEU, as well as any changes in consortium policies or external factors, according to guidance. Generation of new data, changes in the consortium (e.g., the choice to file for a patent), and changes in the consortium composition (e.g., new members joining the consortium, members leaving the consortium) are also important factors to be mentioned in the updated versions of the DMP.

MeteoRo is responsible for the elaboration and update of the DMP throughout the project implementation period.

In the CROSSEU project, as stated in the Grant Agreement (GA), the first version of the DMP was released and implemented in M3 (D6.1 - Data Management Plan, version 1). The DMP will be updated in M17 (D6.2 - Data Management Plan, version 2) and further delivered as a final report in M35 (D6.3 - Data Management Plan, version 3). The final report of the DMP will describe the collection, storage, evaluation, and exploitation of the data produced by the project after its completion.

4.2. Data Privacy and Security

The CROSSEU project has implemented a systematic approach to data collection, utilizing both existing climate risk datasets and new sectoral data gathered throughout the project. Data is being securely stored in a centralized repository, ensuring accessibility for authorized project members while maintaining data integrity and accuracy.

- During the project implementation, data is being stored on third-party cloud services like Teams. Access to Teams Share Point project folder is provided by the project coordinator to consortium members. Teams provides robust security measures, including password authentication to enhance account security, single sign-on capabilities for seamless access, and strong encryption protocols for data both in transit and at rest. It adheres to strict security standards and practices.

Deliverable 6.9 – Report on project policies - (Version 1)

- Data can also be stored/backed up in the server of the organisations collecting the data.

The storage of data reflects its sensitivity, with appropriate levels of storage security to be established. CROSSEU project enforces data security measures that guarantee the security of sensitive information, such as regular monitoring for security threats and implementing strong access control when it comes to the online platform.

Robust measures have been established to protect data privacy, including adherence to GDPR and other relevant regulations (Switzerland, UK, etc. Security protocols are in place to safeguard data against unauthorized access, breaches, and loss, ensuring that sensitive information is handled with the utmost care.

In accordance with the provisions of the Grant Agreement No. 101081377 for the CROSSEU project, and specifically the requirements outlined in the Description of Action (DoA), Part B, concerning the ethical dimensions (Humans, Personal Data, Non-EU Countries), the partners filled in the GDPR EU/UK template (example of the EU form in Annex 3).

By completing this document, partner organisation declares that:

- it is fully compliant with the provisions of EU General Data Protection Regulation (GDPR) 2016/679;
- it has established internal data protection and security policies;
- it has appointed a Data Protection Officer (DPO), as required under the GDPR.

This declaration is essential for ensuring compliance with the ethical requirements of the project and supporting its legal and ethical commitments.

4.3. Data Sharing and Open Access

The project is committed to promoting transparency and collaboration by facilitating data sharing among partners and stakeholders. Open access policies will be adopted where feasible, allowing for broader dissemination of findings and fostering an environment of cooperative research.

Open access to project publications and research data will be ensured via trusted repositories as soon as possible and within deadlines set in the DMP.

CROSSEU fully embraces the open science practices by providing online access to scientific information and tools developed by the project that are free of charge to end-users and that are reusable and replicable. All data and code developed during the project are made available online to users free of charge under an Open Source Initiative (OSI) certified licence, unless specific data protection policy is required (e.g. health data).

Deliverable 6.9 – Report on project policies - (Version 1)

The project enables other researchers to conduct alternative or additional analyses and test, replicate, or upscale our results, policy and technical recommendations. The Open Access Infrastructure for Research in Europe (OpenAIRE) is the entry point for the partners to determine the repository for their publications. The main open science (OS) practices adopted within the project are: i) Open science to the peer-reviewed scientific publications – The project's results available as author's accepted manuscripts (AAMs) or Version of Records (VoR) will be physically available and free shared immediately or as soon as possible after their issuing via a trusted repository (federated in the EOSC), falling under a Creative Commons Attribution (CC BY) or equivalent, with access timelines stipulated in the Data Management Plan (DMP, D6.3) of the project (WP6).

The research outputs/tools/instruments produced by the project and metadata will be FAIR ("Findable", "Accessible", "Interoperable", "Reusable") and will have an open licence (i.e. CC BY, CC 0). The CROSSEU consortium will create a project community on the open access online Zenodo repository where all the project open access publications and datasets will be stored for re-use or validate research/data and findable with provided names of the datasets, authors, date of creation, DOI, etc. (i.e. metadata). Research data management (RDM) will ensure the upholding of the project's research outputs integrity and reproducibility by implementing protocols for using and accessing the digital research data generated or collected and associated metadata (FAIR and under CC 0 licence) established within the DMP, in compliance with the legal provisions of the General Data Protection Regulations (GDPR) (e.g. the project will use GDPR compliant research interviews in the stakeholder engagement process). Other open science practices implemented within the project are related to the open collaboration by actively enrolling citizens, users and other relevant knowledge actors in the co-design of the CROSSEU decision support tool and early and open sharing of data (metrics) reflecting the emergent CC-related socio-economic risks and transformational pathways. The CROSSEU will implement OS practices in compliance with a clear management of Intellectual Property Rights (IPR) and Ownership Rights (OR) between the consortium members.

Gold Open access will be used whenever possible. A procedure for Open Access publishing was drafted and stored in Teams Share Point and shared with the consortium members.



5. Intellectual Property Rights (IPR)

5.1. IPR Policies and Guidelines

The CROSSEU implements OS practices in compliance with a clear management of Intellectual Property Rights (IPR) and Ownership Rights (OR) between the consortium members. The IPR, OR and GDPR aspects are regulated within the DMP and Consortium Agreement in view of the period of project implementation and beyond, for disseminating and exploiting project's results more effectively.

Clear policies and guidelines have been established to manage intellectual property rights within the CROSSEU project, ensuring that all partners understand their roles and responsibilities regarding IPR.

5.2. Ownership and Protection of Results

Ownership of project results are defined through agreements among partners, with strategies in place to protect these results from unauthorized use or infringement.

As the CROSSEU project progresses toward its objectives, an Exploitation of Results Workshop took place on 28th of January 2025, as it is essential to focus on sustaining the project's outcomes and long-term impact. This section outlines strategies to ensure that project results are fully leveraged, intellectual property is properly managed, and key results continue to generate value. While the consortium is currently focused on dissemination rather than commercial exploitation, partners are committed to identifying appropriate pathways — whether through open licensing, policy influence, or follow-up research — to maximise the project's relevance and ensure continued collaboration beyond its lifetime.

As we work on designing the exploitation plan, it is essential to not only identify the Key Exploitable Results (KERs) but also to map out the intellectual property rights (IPRs) associated with them. To do this effectively, we will gather detailed information from the project partners involved in producing the KERs, regarding the foreground or ownership of the results.

Essentially, we need to understand what each partner is contributing to the production of the results and how the IPRs are being handled.

To facilitate this process, the responsible partners collect this information, using open-ended questions and predefined templates for the survey.



5.3. Rights of use for the Granting Authority

The project will establish terms for the granting authority's rights of use, including potential licensing and commercialization opportunities. Decisions on whether to retain or release rights for commercialization will be made based on strategic evaluations of project outcomes.

A Joint Ownership Agreement (JOA) is considered for CROSSEU project, in accordance with specific terms of the Horizon Europe grant agreement, as this document clearly establishes how decisions will be made within the project, especially regarding IP rights, commercialization, and further research. This will reflect the unique interests and goals of each partner involved in CROSSEU results, will clearly define ownership and rights to all intellectual property generated during the project, including patents, copyrights, and know-how, will determine how the project's findings will be commercialized, including who has the right to exploit the IP, how profits will be shared, and how potential conflicts of interest will be managed and will establish a mechanism for resolving disputes that may arise between partners, ensuring a fair and impartial process.



6. Gender Equality

6.1. Gender Balance in the CROSSEU Project

Project's internal guidelines focus on gender balance within the project team, promoting diversity and equal opportunities in all activities and roles.

Equal opportunities between men and women are being promoted in the implementation of the action and, where applicable, in line with the gender equality plan of partner institutions. They aim, to the extent possible, for a gender balance at all levels of personnel assigned to the action, including at supervisory and managerial level. As regards the gender perspective, CROSSEU addresses the Responsible Research & Innovation principles (RRI) and relevant assessment tool for ethics and gender issues.

Gender equality is being promoted through:

- Horizontal and vertical participation of women in research, due to their underrepresentation and lack of involvement in management and decision-making processes.
- Structural change in institutions aimed to eliminate barriers and to enhance scientific careers of women and girls.

Gender in research content, as a relevant perspective for analysing and tackling complex topics.

6.2. Sex and Gender Sensitive Scientific and Organisational Actions and Practices

Research practices have been designed to incorporate gender sensitivity, ensuring that findings reflect diverse perspectives and address gender-specific impacts of climate change.

The CROSSEU project is committed to applying gender-sensitive research practices as a cross-cutting priority, in alignment with the principles and requirements of Horizon Europe. These practices ensure that the research process is inclusive, equitable, and capable of capturing diverse experiences, needs, and impacts across genders.

Gender-sensitive research goes beyond simply including women or men as subjects; it involves systematically questioning how gender norms, roles, and relations influence the research context, the framing of questions, the choice of methods, and the interpretation of data. In CROSSEU, researchers are encouraged to identify and address any gender-based assumptions that may affect research outcomes or societal relevance.

To support this, project partners are guided to:

Deliverable 6.9 – Report on project policies - (Version 1)

- Disaggregate data by sex and gender wherever relevant.
- Design surveys, interviews, and case studies that avoid gender bias and capture differentiated perspectives.
- Ensure that research outputs (e.g. policy recommendations, technological solutions, communication materials) are inclusive and applicable to all genders.
- Consider intersectionality, where applicable, by recognising how gender interacts with other factors such as age, ethnicity, disability, or socioeconomic status.

The gender dimension is addressed in all case studies focusing on the SE risks.

The impact of extreme events on different population groups (split according to age, gender, socio-economic status) is analysed to assess the vulnerability of different population groups, as well as the inequality in the impact of SE risks and adaptation strategies. Gender equality is furthermore mainstreamed in all scientific and organisational activities throughout the project.

Of particular relevance to this project are the stated objectives in the Gender Equality Strategy 2020 of

- (i) being free from stereotypes,
- (ii) thriving in a gender equal economy, and
- (iii) leading equally through society. The project recognises that the development of pathways aimed at adaptation and/or mitigation, may have gendered effects, for example due to existing gender inequality in labour markets.

6.3. Integrating Sex and Gender Sensitive Considerations into Scientific Actions and Practices

In line with Horizon Europe's commitment to excellence, inclusiveness, and societal relevance, the CROSSEU project recognises the critical importance of integrating gender considerations across all stages of its research activities. Addressing gender dimensions is not only an ethical obligation but a scientific necessity when sex or gender differences may influence research processes or outcomes.

To this end, the project actively assesses whether and how sex (biological characteristics) and gender (socially constructed roles and behaviours) are relevant to each research question. Where applicable, methodologies are adapted to reflect these considerations, ensuring that data collection, analysis, and interpretation are sensitive to potential gender-specific



Deliverable 6.9 – Report on project policies - (Version 1)

effects. For example, in any area where user behaviour, societal impact, or biological factors are studied, data will be disaggregated by sex and/or gender, and findings will be examined for differential impacts.

To build capacity and awareness, partners will ensure that project staff are informed about gender-sensitive research approaches, either through internal briefings or access to external resources. By embedding gender considerations throughout the research lifecycle, the project aims to enhance the quality, impact, and social responsibility of its outcomes.

As a particularity of the CROSSEU project, special focus is given to the gender inequality in the impacts of climate extreme events driven by the SE and health gap between men and women and gender in an intersectional perspective (considering multiple forms of discrimination or inequality), social cohesion and social exclusion, and on how policies can increase the capacity of different groups to adapt to climate risks (more specifically, but not exclusively, in Task 4.3, led by K&I).

For policy and technical recommendations to be successful, they need to be inclusive and resonate across genders in a representative and equitable way.

Each policy and technical recommendation that will be developed in the project will incorporate an evaluation of the likely gendered employment and societal impact and will be designed to ensure women's inclusion in working opportunities (and broadly societal opportunities), including in leadership positions. Furthermore, the project takes into account the particular sensitivity of women towards all societal issues, including the societal effects of climate change and policies functional to adaptation and mitigation (e.g. on family life, on the lives of children, etc.). As far as possible, an intersectional approach is adopted.



7. Ethical Considerations

7.1. Ethical Principles and Compliance

The project adheres to high ethical standards, guided by principles that ensure responsible conduct and compliance with relevant ethical guidelines and regulations. Ethics methodologies were established to supervise all case studies methodologies (internal project ethics board).

The Research Ethics Committee of the University of Bucharest is an external body, responsible with monitoring the project's adherence to research ethics principles and norms. The main aim of the commission is to evaluate the submitted documents within the project and to approve and authorising them (e.g. amendment, introduction of a new research instrument: a questionnaire, etc).

The CROSSEU project was initially approved by the Committee through Decision no. 92/ 02.07.2024.

This Commission decisions are available under the conditions described in the GA. The Commission monitors the activities during the implementation of the approved documents and the study coordinator will submit reports on the implementation stage and conduct of the studies semi-annually or at the Commission's request.

From the viewpoint of ethics management, the key actors are the internal CROSSEU Ethics Team (ET), the CROSSEU Ethical Advisory Board (EAB) and University of Bucharest Data Protection Manager (DPM). The role of the internal Ethics Team is to review deliverables from the ethics point of view, as well as provide ad hoc consultancy on ethical issues that emerge during the CROSS project. The Ethical Advisory Board (EAB) provides independent input to the Consortium on ethical compliance based on the data collection involving personal data and the reports and project meetings. Their comments will be included in the periodic ethics reports. Example of an Ethics Review Form is provided in *Annex 5*).

All the CROSSEU project partners commit to upholding ethical research standards, including the European Code of Conduct for research integrity¹. All partners are committed to delivering high-quality scientific outputs and to being transparent, ensuring the deliverables' reliability and

¹ The European Code of Conduct for Research Integrity, available at <https://allea.org/code-of-conduct/>



impact. These features of the deliverables are validated as part of the quality management procedures.

7.2. Ethical Review Process

An ethical review process will be established to evaluate project activities, ensuring that all research complies with ethical standards and respects the rights and dignity of participants.

Based on EU regulations on personal data (GDPR), the project creates opportunities for open and transparent dialogue with stakeholders, including formal channels such as stakeholder consultations and informal channels such as social media and community outreach. The stakeholders' feedback is incorporated into decision-making processes, such as conducting stakeholder impact assessments or engaging in participatory decision-making processes. CROSSEU establishes clear and consistent communication channels to enable stakeholders to report ethical concerns or violations.

The project includes a notable number of interviews, questionnaires and workshops, where personal data are collected. The detailed methodology, the informed consent and the tools used (e.g., questionnaires, focus groups/interview guidelines) are developed within the project and submitted to the Internal Ethics Board by each organization partner (see examples of distributed forms in *Annex 4 and 5*). The informed consent is written in the native language and describes the aims, methods and implications of the study, the nature of the participation and any benefits and risks. Also, it explicitly states that participation is voluntary and that anyone has the right to refuse to participate and to withdraw their participation or samples or data at any time — without any consequences.

All information provided will be kept confidential and private and will be processed according to EU GDPR, UK GDPR and Switzerland FADP. All information is kept confidential and private, and no information about the sender (name, email, IP address, etc.) will be collected when sending information.

All information provided (name, email, IP address, phone, etc.) is kept confidential by encryption that turns all data into an unreadable format and only authorised persons from the project partners can decrypt the data and read it. Besides, all qualitative and quantitative data collected through surveys will not be assigned at the level of the interviewed person.

Non EU-Contries:

Some activities in all the Work Packages will be carried out in the UK and Switzerland. They will follow their national regulations and laws to comply with ethics principles.



8. Project Reporting and Deliverables and Milestones Monitoring

8.1. Project financial and technical reporting

Regular financial and technical reports will be prepared to track progress against project objectives and milestones. These reports will ensure transparency and accountability in the management of resources and the achievement of deliverables.

8.1.1. Reporting to EC

Reporting is carried out using the online platform provided by the EC which specify the required contents: in essence, a periodic technical report and a periodic financial report. These reports are required within 60 days of the end of the period. Work Package leads are expected to contribute to the technical report, with input from all partners as needed. All partners must provide an individual financial statement detailing their eligible costs for the period.

The interim reporting to the EC will happen in the following periods:

- From month 1 to month 18 (January 2024 – June 2025)
- From month 19 to month 36 (July 2025 – December 2026)

As described in the CROSSEU Grant Agreement, these timeframes will be reported within 60 days after the period termination date.

8.1.2. Internal reporting

All partners will share interim information regarding efforts, expenses and achievements in an internal report. The internal reporting process will be aligned with the formal reporting to the EC and will permit the coordinator, and all the partner, to:

1. Collect from all partners information regarding work performed on the project, results and impacts achieved.
2. Collect from all partners their financial statements forecast for the reporting period.
3. Compare project achievements with resource consumptions and confront them with the project plan.



Deliverable 6.9 – Report on project policies - (Version 1)

4. Share main findings regarding project execution with all partners.

This internal reporting process will ease the process of formal reporting by all partners and the production of a consolidated report for the project to be formally submitted to the EC during the interim reporting phases. It will also permit the project Consortium to provide the best representation of the efforts and achievement on the project.

The internal reporting will work on the same information to be collect and shared for the formal reporting to the EC, including:

- Brief written reports of the activities of the partner per work package.
- Updates on work on deliverables, risks, problems and planned activities.
- A summary of meetings attended.
- Expenses and financial activities.

Templates that support the reporting of this information were provided to partners and are available in the CROSSEU Share Point. When requested, it is important that all participants use this template for the reporting to ease data reconciliation and correct reporting to the EC.

Moreover, core partners which lead work packages are asked to provide updates per task and an overview of the status of the deliverables and milestones. This enables the PC to track effort and spend against plan and to detect any deviations early. The reporting of the activities in the different WPs will be done during the monthly Project Progress Meetings and will be registered in the minutes for such meetings.

Regarding the reporting of expenses and financial activities, this can include:

- The staff effort (person-months) expended in the preceding three months.
- A summary of all personnel costs.
- A summary of non-staff costs such as travel, workshop costs etc.

The first internal interim reporting period ended in September 2024, and all technical reports were collected from partners and are available in the Teams CROSSEU Teams SharePoint.

- Deviations

Deliverables

- D4.1 according to the GA was attributed (by mistake) to UNIPD and was lead, effectively, by K&I

Budget

Deliverable 6.9 – Report on project policies - (Version 1)

- Although there has been no deviation from the allocated person months (PM), there are still some issues that need to be addressed. Specifically, there are instances where the PM used are slightly lower than expected, as well as cases where a partner, despite not having allocated PM for a particular task, must still engage and contribute to certain activities. This is crucial to avoid jeopardizing the timely delivery of deliverables or other project outputs.
 - Resources

Personnel resources were well available for the project apart from a few minor exceptions which have been replaced by other contributing Project Partner or discussed one-to-one for seeking time and solution for the cases. Overall, WPs have been booking resources to the project tasks well in advance by agreeing and reserving the required timeslots for not only the meetings but for the actual task.

8.2. CROSSEU Deliverables and Milestones Monitoring

The coordination of the day-to-day project activities is steered through online WP Leads meetings which enable frequent exchange of information and collaboration on cross-project activities. The team has been working on joint issues such as the project website, project case study areas, communications and engagement activities, and in addition, extraordinary meetings have been organized on the themes as per a need.

The Project Manual ² strengthened the understanding of roles, responsibilities, processes in place of coordinating the project activities and defined general principles on joint working practices.

The WP Leads have initiated the tasks in WPs as planned and teams are performing well with good working spirit.

The first External Expert Advisory Board (EEAB) meeting was held in May 2024. The project scope and goals were introduced to the Board and joint working practices were discussed.

² CROSSEU_ D6.4-Project Management Plan _v01



9. Conclusion

- **Data Management:** The CROSSEU project has successfully implemented a systematic approach to data collection and storage, ensuring data integrity and accessibility for authorized members. Robust privacy and security measures are in place, adhering to GDPR and other regulations, while promoting transparency through data sharing and open access policies.
- **Intellectual Property Rights (IPR):** Clear policies and guidelines have been established to manage IPR, ensuring partners understand their roles and responsibilities. Ownership of project results is defined, with strategies to protect against unauthorized use, and terms for the granting authority's rights of use are being developed.
- **Gender Equality:** Efforts to ensure gender balance within the project team are ongoing, with gender-sensitive research practices integrated into all stages of research. This approach ensures that project outcomes contribute to gender equality and inclusivity.
- **Ethical Considerations:** The project adheres to high ethical standards, with an established ethical review process to evaluate activities and ensure compliance with ethical guidelines, respecting participants' rights and dignity.
- **Project Reporting and Deliverables:** Regular financial and technical reports are prepared to track progress against objectives and milestones, ensuring transparency and accountability. The coordination of day-to-day activities is facilitated through online meetings, enabling frequent information exchange and collaboration.
- **Risk Management:** The project has a robust risk management framework, with risks regularly reviewed and discussed at progress meetings. Mitigation strategies are in place to address identified risks, ensuring the project's smooth execution.
- **Stakeholder Engagement and Communication:** The project has planned further communication and dissemination activities for 2025, including regular posts to boost newsletter subscriptions and engagement with stakeholders. Participation in key events and conferences is scheduled to enhance visibility and impact.



Bibliography

The European Code of Conduct for Research Integrity, available at <https://allea.org/code-of-conduct/>

CROSSEU - 101081377 – Grant Agreement

Climate sciences and responses (HORIZON-CL5-2022-D1-01-two-stage), available at <https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/opportunities/topic-details/horizon-cl5-2022-d1-01-01-two-stage>

CROSSEU Consortium Agreement – Version 7 – Sections 11.7 and 11.8 have been updated to align with the regulations set in the Grant Agreement, signed 26th of March 2025

D6.4 - Project Management Plan v1.0

D6.1 - Data Management Plan v01

D5.1 – Stakeholder Mapping and Engagement Plan



10. Annexes

10.1. Annex 1: Risk Register

Table 1: Table 1 presents the Critical risks as per Annex 1 of the CROSSEU Grant Agreement

Risk No	Category	Description	WP No	Mitigation Activity	Probability	Impact	Score
1	Personnel	Key staff members are leaving the project (i) Low; (ii) Very severe	WP1, WP2, WP3, WP4, WP5, WP6	Most WPs involve multiple partners and pair task forces, which collaborate closely to achieve the project tasks in a timely manner, with a proactive monitoring of the progress and flag problems to enable harmonious mitigation of emerging risks. Joint research and development activities are the most effective way to maintain a low-risk level.	2	5	10
2	Implementation	Data for STLs and case studies delayed: (i) Low; (ii) Very severe	WP1	The STL and case studies start based on more aggregated existing data, and are afterwards adjusted with better data.	2	5	10
3	Implementation	STL scenarios delayed: (i) Low; (ii) Severe	WP2	Case studies can still be initiated based on intensive stakeholder dialogues. Early start of the preparation for STLs.	2	4	8
4	Implementation	Insufficient stakeholder engagement: (i) Low; (ii) Medium	WP1, WP2, WP3, WP4, WP5	Stakeholders are involved from the first stages of the project and are motivated throughout the project's implementation by engagement workshops, bilateral meetings and round table discussions for the co-design of the project's decision-making tool. The potential benefits for the stakeholders are made clear from the beginning, and the stakeholders are updated regularly with the essential outcomes of the research	2	3	6

Deliverable 6.9 – Report on project policies - (Version 1)

				through the dissemination activities following a clear consultation calendar (Stakeholder Mapping and Engagement Plan) for a smooth knowledge transfer and effective dialogue.			
5	Implementation	A partner is unable to produce work of sufficiently high quality (e.g. poor scientific quality of the deliverables): (i) Low; (ii) Low	WP1, WP4, WP2, WP3, WP5, WP6	Regarded as a small risk, as all partners have a strong track record working on international projects. High quality is ensured according to the agreed procedure outlined in WP1. This risk is mitigated by internal adjustment of the tasks that has no impact on delays in submitting deliverables to the Agency/EC.	2	2	4
6	Implementation	Conflicts among partners: (i) Low; (ii) Severe	WP1, WP2, WP3, WP4, WP5, WP6	In the list of WPs and tasks, specific attention is given to defining the responsibilities of each partner. The Project Coordinator provides partners with a management plan with instructions on decision-making. In a case of conflict, the GA decides how to resolve the conflict. Should an insolvable conflict between partners occur, the GA ultimately decides to exchange the partner with a new partner or allocate all tasks and budget to the outstanding partners	2	4	8
7	Time/Implementation	Delays in one WP/Task leading to delays in other WPs/Tasks: (i) Medium; (ii) Medium	WP1, WP2, WP3, WP4, WP5, WP6	The project planning has been done carefully and agreed across the WP and task leaders in view of the entire workflow. All partners are experienced in project work and understand the 'domino effect' of delays. To mitigate this risk, a	3	3	9

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				constant review of the progress is implemented.			
8	Implementation	New (virus) outbreaks: (i) Medium; (ii) Medium	WP2, WP5	For each “face-to-face” method/action there is a fallback position “online meeting”.	3	3	9
9	Data	Missing data: (i) Medium; (ii) Medium	WP1, WP2	Data are collected from the very beginning of the project, and the results are based on a consistent collection of existing data. The model-based approach is used to produce data when they are not already available.	3	3	9
10	Benefit	Insufficient stakeholder input into DSS co-design and implementation: (i) Low; (ii) Medium	WP3	The DSS is based on an existing tool called TEAL and will ultimately be hosted on an existing infrastructure called DAFNI. Stakeholder input to the design of the CROSSEU-specific DSS tool is collected via one-to-one consultations or workshops with stakeholders in Task T3.1. Relationships built with stakeholders by this process are sustained during the project to bring users back for the regular elicitation sessions and demos which are planned in task T3.4. In the extremely unlikely event that these sessions provide little additional information to guide the design and development of the DSS tool, then the expertise of the partners WEMC and UKRI - who developed the existing baseline infrastructures and that serve similar communities - will be required to guide development.	2	3	6



10.2. Annex 2: Unforeseen Risks

Table 2: Unforeseen Risks

Risk No	Category	Description	WP No	Mitigation Activity	Probability	Impact	Score
11	Implementation	Incapacity of work due to external events, such as strikes, accidents, conflicts: (i) Low; (ii) Severe	WP1, WP2, WP3, WP4, WP5, WP6	The deliverables should be prepared in advance and managed, as much as possible, by more than one partner. The WP Lead will communicate with the task leads about the progress of the deliverables.	2	4	8
12	Implementation	Consortium's experience and knowledge using Microsoft Teams: (i) Low; (ii) Medium	WP1, WP2, WP3, WP4, WP5, WP6	Training, communication and in the last resort even change the platform. Training and communication involve providing information and instruction to the consortium members to address their unfamiliarity with the Teams platform.	2	3	6
13	Implementation	Unauthorized access or disclosure of sensitive data: (i) Low; (ii) Severe	WP1, WP2, WP3, WP4, WP5, WP6	Focus on strengthening access controls, user awareness training, and implementing a basic incident response plan.	2	4	8



10.3. Annex 3: CROSSEU GDPR EU Template

INSTITUTIONAL LOGO
ORGANIZATION:.....
ADDRESS:
TELEPHONE:
E-MAIL:

To whom it may concern
Date

Subject: Data Protection Officer – Data Protection Policy – Security Officer

[Name of the organization or Acronym] hereby declares that all activities undertaken by [Name of the organization or Acronym] within the project "Cross-sectoral Framework for Socio-Economic Resilience to Climate Change and Extreme Events in Europe - CROSSEU with grant agreement number 101081377, are in compliance with the requirements set forth in the EU General Data Protection Regulation 2016/679. Furthermore, [Name of the organization or Acronym] has established a data protection policy and a security policy. Additionally, [Name of the organization or Acronym] has appointed a Data Protection Officer as mandated by the General Data Protection Regulation (GDPR).

Data Protection Officer contact details:
Name:
E-mail address:

[Name of the organization or Acronym] acts as a Service Provider and has appointed a Chief Information Security Officer.
Chief Information Security Officer contact details:
Name:
E-mail address:

Data Protection Officer or General Director
Name:
Signature

Organisation stamp:



10.4. Annex 4: Stakeholder Consent Letter and Questionnaire

Stakeholder Consent Letter

Join CROSSEU in the first Stakeholder Co-design Workshop

Project:	<i>Cross-sectoral Framework for Socio-Economic Resilience to Climate Change and Extreme Events in Europe (Project Acronym: CROSSEU)</i>
Project Duration:	January 2023 – December 2026
Project objective:	The CROSSEU project aims at delivering a research-based framework for improving climate resilience and policy response to socio-economic risks of climate change and extreme events in Europe through the development of a ready-to-use Decision Support System (DSS) and cross-sectoral action knowledge.
Workshop objectives:	<ul style="list-style-type: none">(i) To introduce the sectoral stakeholders and other interested parties to the issues addressed by the CROSSEU project;(ii) To set up the framework for stakeholder participation in an continue exchange of knowledge and ideas with members of the scientific community, policy makers and practitioners to co-develop and test the CROSSEU DSS which will facilitate improved resilience to climate change and associated socio-economic risks at European level.

Through this letter, you are invited to participate in a project on socio-economic risks of climate change. Your participation entails attending one or several workshops and completing a questionnaire. Before you decide whether you want to participate, it is important that you understand why we want to conduct this research and what it entails. Please kindly take your time and read the following information carefully.

Who is invited to participate?

All interested stakeholders come from government, academia, industry and civil society.

What is the degree of privacy and confidentiality of the information provided?

All information provided will be kept confidential and private and will be processed according to EU GDPR, UK GDPR and Switzerland FADP. All information will be stored in dedicated archives on the project's SharePoint, accessible within the project consortium. The information shared publicly will be limited to the number of



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participants in the event as well as the stakeholder and target groups categories to which they belong.

What happens to my personal data?

All information provided (name, email, organization, IP address etc) is kept confidential by encryption that turns all data into an unreadable format and only authorized persons from the project can decrypt the data and read it. Besides, all qualitative and quantitative data collected through surveys will not be assigned at the level of the interviewed person.

Can I ask additional questions?

Please ask us if you have any questions or would like more information.

Do not hesitate to contact us at: (contact person email from the organizing institution)

Thank you for reading this information!

Consent to Participate in the Stakeholder Co-design Workshop

Partners:

- I confirm that I have read and understood the information sheet for the CROSSEU project.
- I agree with the use and processing of personal data in compliance with the current GDPR regulations.
- I agree to be involved in the CROSSEU project for co-designing and testing the DSS.
- I consent to the audio and video recording of my image and voice for the purpose of [specific purpose]. [To be adapted to the meeting topic]
- I confirm that I have been informed of my right of access to any personal data related to me, a right to correct, and a right to oppose the processing of personal data relating to me. I can also withdraw my consent for the future at any time without any justification. To exercise these rights, please send a written request to (name, position in the project, institution’s acronym, e-mail address) acting as data controller.

Participant name

Main contact person

Date

Signature



Organizing institution of the workshop:

Questions to be addressed within
the CROSSEU 1st stakeholder engagement co-design WS

1. Name of your organisation: _____

2. What is your organisation's activity sector?

- a) Health
- b) Social Justice
- c) Migration
- d) Finance
- e) Insurance
- f) Energy
- g) Tourism
- h) Transport
- i) Biodiversity and ecosystem services
- j) Forestry
- k) Agriculture and food security
- l) Water management
- m) Other _____

3. What are the climatic hazards affecting your organisation's activity?

Heat

Drought

Storm

Snow

Other: _____

4. What specific information or data do you feel is lacking for your organisation's activity regarding climatic hazards?

5. Is your activity sector subject to socio-economic impacts induced by climate change?

Yes

No

6. Could this impact have financial impact?

Yes

No



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7. To which extent are the socio-economic risks of climate change included in the national policies relevant for your sector?

Fully included

Partially included

Not included

Unsure

8. Do you use any science-based results in your organisation's activity?

Yes

No

9. Is your organisation using a decision support system (DSS) to make decisions?

Yes

No

10. Do you consider beneficial the use of a DSS in your organisation's activity?

Yes

No

11. Has your organisation established collaborative activities with organisations from other sectors for decision-making?

Yes

No

12. What specific information or data do you feel is lacking when you address the climate change-related risks in your sector?

-
13. What is the level of engagement would you agree to be considered within the CROSSEU project?

Inform (informed on the proceedings of the process)

Consult (informed on the proceedings of the process and can phrase his concerns with respect to the project.)

Advice (asked for advice on the project)

Co-create (actively work on the development of the plan together with the project team)

Co-decide (steer the process of the project development)

14. What communication channels and methods would you prefer for keeping you engaged in the CROSSEU project?

Face-to-face dialogue

Videoconferencing

Emails

Project newsletters



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e) Other: _____

15. Would you like to participate in an online workshop to be organised within the CROSSEU project at European level at the end of April 2024?

Yes

No



10.5. Annex 5: Ethics Review Template



UNIVERSITY OF BUCHAREST
 Research Ethics Committee
<http://cometc.unibuc.ro>
cometc@unibuc.ro



Research Ethics Committee,
 President
 Assoc. Prof. Dr. Elena Ionică

Reg. No.
Date:.....

Annex 4

- Amendment to the Request for Endorsement – Further Review

<i>SECTION 1. Identification data of the Research Coordinator/Principal Investigator</i>	
Name of Research Coordinator/Principal Investigator	<i>The coordinator of the research activity is an employee of the University of Bucharest (teacher, researcher). The amendment of research activities cannot be requested by students or doctoral students. The request is submitted by the Coordinator of the research activity, according to Art. 24 and 25 of the Functioning Regulations of the Research Ethics Commission. https://cometc.unibuc.ro/wp-content/uploads/2021/07/regulament-funcionare-CEC.pdf</i>
Faculty	
E-mail address	
Telephone	
<i>SECTION 2. Research/study identification</i>	
Title of the research/study	
Number of the CEC decision for which you are requesting renewal	CEC Decision no from
<i>SECTION 3. Description of the research/study and the requested amendments</i>	
Type of research/study	<input type="checkbox"/> Study on human embryos/human fetus <input checked="" type="checkbox"/> Study on human subjects <input checked="" type="checkbox"/> in Social and human sciences <input type="checkbox"/> in behavioral sciences <input type="checkbox"/> in life sciences <input checked="" type="checkbox"/> Study in which researchers meet face-to-face with the participants <input checked="" type="checkbox"/> Study applying online tools <input type="checkbox"/> Study on human cells/tissues <input type="checkbox"/> Animal study <input type="checkbox"/> Study in animal cells/tissues <input type="checkbox"/> Study on environmental protection and security <input type="checkbox"/> Study carried out in partnership with non-EU countries
Period of implementation of the study/project	from Click or tap to enter a date. until the date of Click or tap to enter a date.
What is the current status of approved research activities?	<i>Please briefly present what stage you have reached with the implementation of the approved research activities.</i> <i>(max. 250 characters)</i>
If it is a project/ contract approved for financing, who requests the change?	University of Bucharest <input checked="" type="checkbox"/> Partner <input type="checkbox"/> If the change request comes from the Partner, please mention:



Deliverable 6.9 – Report on project policies - (Version 1)



UNIVERSITY OF BUCHAREST
 Research Ethics Committee
<http://cometc.unibuc.ro>
cometc@unibuc.ro



	Name of the partner institution: Name and surname of the Project Manager: Have you attached the partner's request for amendment and the partner institution's decision? YES <input type="checkbox"/> NOT <input type="checkbox"/>																				
What changes do you introduce with this amendment?	<input checked="" type="checkbox"/> introduction of work tool(s), <input type="checkbox"/> amendment(s) concerning experimental design, <input type="checkbox"/> modification of study procedures, <input type="checkbox"/> amendment(s) of the work tool(s), <input type="checkbox"/> amendment(s) of the measuring instrument(s), <input type="checkbox"/> modification(s) of data collection procedures, <input type="checkbox"/> procedure(s) and research visit(s), <input type="checkbox"/> modification(s) of approved working methodology(s), <input type="checkbox"/> modified protected area in which research activities are carried out but the methodology is maintained, <input type="checkbox"/> use of new substances, markers or biomarkers in research activities <input type="checkbox"/> change of at least one institutional partner <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Current institutional partner</th> <th style="width: 50%;">New institutional partner</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> <input type="checkbox"/> change of Research Coordinator/Principal Investigator <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Current Coordinator/ Investigator</th> <th style="width: 50%;">Coordinator/ New Investigator</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> </tbody> </table> <input type="checkbox"/> change of at least one member of the research team (including students, doctoral students) <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Current institutional partner</th> <th style="width: 50%;">New institutional partner</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> <input type="checkbox"/> changing the responsibilities of one of the partners <input type="checkbox"/> transfer of responsibilities for which approval has been requested from one partner to another partner	Current institutional partner	New institutional partner							Current Coordinator/ Investigator	Coordinator/ New Investigator			Current institutional partner	New institutional partner						
Current institutional partner	New institutional partner																				
Current Coordinator/ Investigator	Coordinator/ New Investigator																				
Current institutional partner	New institutional partner																				
Briefly describe the requested changes	<i>(max. 1,000 characters)</i>																				
Briefly describe why you want to make these changes	<i>(max. 1,000 characters)</i>																				
Risks brought by the requested amendment	<i>Do the requested changes bring additional risks (are they treated according to the type of research activity)?</i> <input type="checkbox"/> YES <input checked="" type="checkbox"/> ... NOT <i>If you answered YES, please describe these risks and how you will address research ethics issues (including GDPR)</i>																				
SECTION 4. Supporting documents																					
Please tick which documents have been submitted for the evaluation of this amendment request:																					
<input type="checkbox"/> Request for amendment (Annex 4) <input type="checkbox"/> Request for amendment from the Partner <input type="checkbox"/> Information sheet and informed consent form																					

Deliverable 6.9 – Report on project policies - (Version 1)



UNIVERSITY OF BUCHAREST

Research Ethics Committee

<http://cometc.unibuc.ro>

cometc@unibuc.ro



<input checked="" type="checkbox"/>	Data collection form
<input type="checkbox"/>	Link to the form if the study is carried out online (the Commission must have the role of editor)
<input type="checkbox"/>	Database with the data collected following the application of the form
<input type="checkbox"/>	Research tools (As mentioned in the Request for Amendment)
<input type="checkbox"/>	Biobase's operating permit
<input type="checkbox"/>	Notice of conduct of research from DSVSA
<input type="checkbox"/>	Notice of change of the research coordinator/Principal Investigator, received from the funder
<input type="checkbox"/>	Notice of change of partner, received from the funder
<input type="checkbox"/>	Notice of change of the members of the research team, received from the funder
<input type="checkbox"/>	Opinion received from the funder on the transfer of responsibilities from one partner to another partner
<input type="checkbox"/>	Other, please specify: Invitation to participate in the activities of the project

The information in this form, together with any accompanying information, is complete and accurate to the best of my knowledge and beliefs and I take full responsibility for it.

I am committed to complying with national and European legislation on scientific research in carrying out this study.

I am committed to complying with national and European legislation on the privacy of the data I collect during the study(s) carried out and for which I request an ethical opinion.

I undertake to anonymize the personal data collected for the conduct of the study(s) for which I am requesting ethical approval and access to the collected data is limited as mentioned in this request for approval.

I understand that CEC grants ethical endorsement for research activities carried out on behalf of the University of Bucharest (including for activities involving compliance with GDPR rules) and that seeking and obtaining all other necessary endorsements and permissions before starting the research/study is my responsibility.

I confirm that I am familiar with and will conduct my study in accordance with the General Data Protection Regulation (GDPR) and the Data Protection Act 2018 (DPA 2018), reporting any data security breach to the University's GDPR Director: dpo@unibuc.ro.

I understand that I do not need to start research and related studies that require ethics approval until I have received full approval from the Research Ethics Committee of the University of Bucharest.

I understand that any changes I would like to make to this study/research, after receiving CEC approval, require further review. As such, they must be submitted cometc@unibuc.ro before these amendments are implemented, by completing and submitting Annex 4.

Research Coordinator/ Principal Investigator

Name and Surname:

Signature

CROSSEU Partners

 <p>Meteo Romania</p>	 <p>UEA University of East Anglia</p>	 <p>WORLD METEOROLOGICAL ORGANIZATION</p>
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 <p>BOKU</p>	 <p>DTU</p>	 <p>WEMC World Energy & Meteorology Council</p>
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